

# On the Duffin-Schaeffer Conjecture: 4

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This talk will give some details about the key technical ideas in the iterative argument.

- 1 Handle quality increments in the difficult case
- 2 Show these quality increments are suitable for the proof
- 3 Put everything together to finish proof
- 4 Reflect on the argument
- 5 Further problems

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- Thus it just requires us to get suitable quality increments when  $\alpha_p, \beta_p \approx 1$ .

Recall: if  $\alpha_p, \beta_p \approx 1$  we **cannot** obtain a quality increment in general (with our current setup). We've reduced to the situation of our counterexample!

Need to use extra structure specific to the DS problem.

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## Main Aim

Show for every set  $S \subset [x, 2x]$  and every  $t \geq 1$

$$\sum_{\substack{q,r \in \mathcal{E}_t \\ \gcd(q,r) \geq x^{1-c}/t}} \underbrace{\frac{\phi(q)}{q} \frac{\phi(r)}{r}}_{\text{weights} \approx 1} = O\left(\frac{x^{2c}}{t}\right),$$

where

$$\sum_{q \in S} \underbrace{\frac{\phi(q)}{q}}_{\text{weights} \approx 1} = O(x^c), \quad \mathcal{E}_t := \left\{ (q,r) \in S^2 : \sum_{\substack{p|qr \\ p \geq t}} \frac{1}{p} \geq 10 \right\}.$$

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Since  $\phi(q)/q = \prod_{p|q} (1 - 1/p)$ , we gain an additional factor of  $(1 - 1/p)$  whenever we choose to restrict to  $V_p$  or  $W_p$ .

**So we can afford to lose a few factors of  $1 - 1/p$  in our quality**



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To handle the difficult case we therefore need to use an argument which is sensitive to the specific weights in the DS problem.

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- 1 Show that we can obtain suitable increments if we allow for a loss of  $(1 - 1/p)$  factors
- 2 Show that we can still get an adequate result if we have the  $\phi(q)/q$  weights.

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Let's first show that we can get suitable increments if these losses are acceptable.

## Lemma (Almost-quality increment in difficult case)

Let  $G$  be a GCD graph,  $p$  a prime and  $\alpha_p, \beta_p \geq 1 - 10^{10}/p$ . Then one of the following holds:

- 1  $q(G_{p,p}) \geq \left(1 - \frac{1}{p}\right)^2 \left(1 - \frac{1}{p^{3/2}}\right) q(G)$ ,
- 2 There is a  $G' \in \{G_{p,\widehat{p}}, G_{\widehat{p},p}\}$  such that  $q(G') \geq q(G)$ .

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In the second case we get a quality increment. In the first case

- The weights  $\phi(q)/q$  will balance out the factor  $(1 - 1/p)^2$ .
- The total loss from  $(1 - 1/p^{3/2})$  over all iterations is bounded since  $\prod_p (1 - 1/p^{3/2})$  converges.

# Proof for difficult case

Imagine for a contradiction  $q(G_{p,p}) \leq (1 - 1/p)^2(1 - 1/p^{3/2})q(G)$   
and  $q(G_{p,\widehat{p}}), q(G_{\widehat{p},p}) \leq q(G)$ .



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$$\begin{aligned}\delta_{p,p} &\leq \delta(1 - 1/p)^{2/10}(1 - 1/p^{3/2})^{1/10}\alpha_p^{-1/10}\beta_p^{-1/10} \\ \delta_{p,\widehat{p}} &\leq \delta p^{1/10}\alpha_p^{-1/10}(1 - \beta_p)^{-1/10}.\end{aligned}$$

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Let  $\alpha_p = 1 - A/p, \beta_p = 1 - B/p$  for some  $A, B \geq 0$  bounded.

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Substituting this all into our constraint

$$\delta = \delta_{p,p}\alpha_p\beta_p + \delta_{p,\widehat{p}}\alpha_p(1 - \beta_p) + \delta_{\widehat{p},p}(1 - \alpha_p)\beta_p + \delta_{\widehat{p},\widehat{p}}(1 - \alpha_p)(1 - \beta_p)$$

gives

$$1 \leq \left(1 - \frac{1}{p}\right)^{2/10} \left(1 - \frac{A}{p}\right)^{9/10} \left(1 - \frac{B}{p}\right)^{9/10} \left(1 - \frac{1}{p^{3/2}}\right)^{1/10} + \frac{B^{9/10} + A^{9/10}}{p} + O\left(\frac{1}{p^{9/5}}\right).$$

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 $-(2 + 9A + 9B)/10 + B^{9/10} + A^{9/10} \leq 0$ .

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**Therefore either a quality increment, or  $G_{p,p}$  with a controlled loss in quality**



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Lemma (Quality controls our DS graph)

Let  $G_{start}$  have edge set  $\mathcal{E}_t$ . If  $\sum_{p \in P_{end}, p \geq t} 1/p \leq 5$  then

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Refined version:

## Lemma (Quality controls our DS graph with weighting)

Let  $G_{start}$  have edge set  $\mathcal{E}_t$ . If  $\sum_{p \in P_{end}, p \geq t} 1/p \leq 5$  then

$$\sum_{q,r \in \mathcal{E}_t} \frac{\phi(q)}{q} \frac{\phi(r)}{r} \ll \frac{q(G_{start})}{q(G_{end})} x^{2c} e^{-t} \prod_{p \in P_{bad}} \left(1 - \frac{1}{p}\right)^2,$$

where  $P_{bad}$  is the set of primes where we choose  $G_{p,p}$  in the difficult case.

# Quality controls our DS graph with weighting

Before, proof used

$$\#E_{end} \leq \#\left\{v, w \leq 2x : \sum_{\substack{p|vw/\gcd(vw)^2 \\ p \geq t}} \frac{1}{p} \geq 10, a|v, b|w\right\} \ll \frac{x^2}{ab} e^{-t}.$$

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Instead, we use

$$\sum_{(q,r) \in E_{end}} \frac{\phi(q)}{q} \frac{\phi(r)}{r} \leq \sum_{\substack{v,w \leq 2x \\ a|v, b|w \\ \sum_{p|vw/\gcd(vw)^2, p \geq t} 1/p \geq 10}} \frac{\phi(v)}{v} \frac{\phi(w)}{w}$$

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# Quality controls our DS graph with weighting

Substituting this bound

$$\sum_{(q,r) \in E_{end}} \frac{\phi(q)}{q} \frac{\phi(r)}{r} \ll \frac{x^2}{ab} e^{-t} \prod_{p \in P_{bad}} \left(1 - \frac{1}{p}\right)^2$$

into our (weighted) quality gives

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Thus

$$\sum_{q,r \in \mathcal{E}_t} \frac{\phi(q)}{q} \frac{\phi(r)}{r} \ll q(G_{start}) \ll \frac{q(G_{start})}{q(G_{end})} x^{2c} e^{-t} \prod_{p \in P_{bad}} \left(1 - \frac{1}{p}\right)^2.$$

(An analogous argument works for when  $\sum_{p|ab/\gcd(a,b)} 1/p \geq 5$ )

# Summary for quality increments

For our iteration, we consider three cases: if  $\alpha_p \approx \beta_p \approx 0$ , if  $\alpha_p \approx \beta_p \approx 1$  or if neither holds.

- Easy case: We can always obtain a quality increment unless  $\alpha_p \approx \beta_p \approx 1$  or  $\alpha_p \approx \beta_p \approx 0$ .

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- This is (just!) acceptable for our final bounds.

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- 4 For the choice of  $\Delta$  we are considering, this says

$$\sum_{q,r \in \mathcal{E}_t} \frac{\phi(q)}{q} \frac{\phi(r)}{r} \ll e^{-t} x^{2c}.$$

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- 7 If  $\alpha_p, \beta_p$  are not both near 0 or 1, we can choose a subgraph with  $q(G_{i+1}) \geq q(G_i)$ .

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- 11 Since we can't iterate,  $G_{end}$  is very simple, and we calculate

$$q(G_{end}) \ll x^{2c} e^{-t} \prod_{p \in P_{bad}} \left(1 - \frac{1}{p}\right)^2.$$

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I've sketched how to make the argument work, but I produced the definition of 'quality' out of thin air.

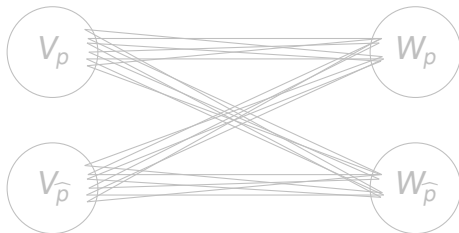
## Question

*Why this definition of quality?*

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Naive guess: If  $V \subseteq [V_1, 2V_1]$  and  $W \subseteq [W_1, 2W_1]$ , then the number of pairs with gcd at least  $d$  should be

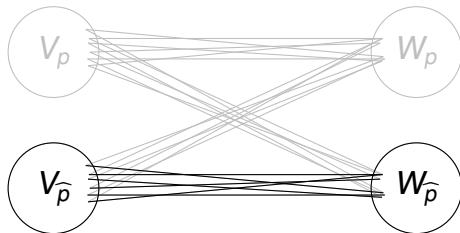
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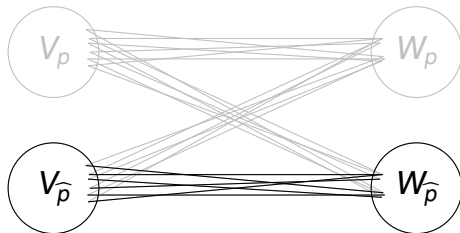


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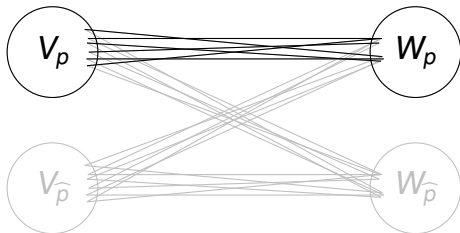
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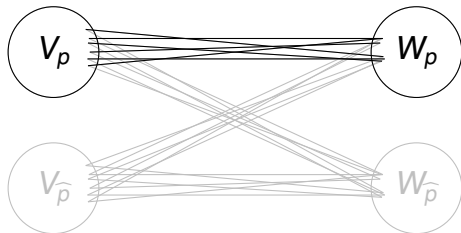
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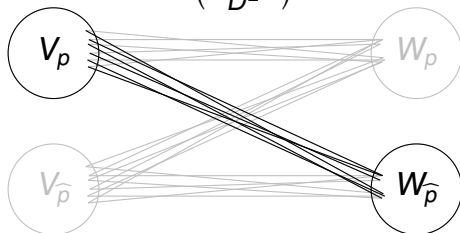
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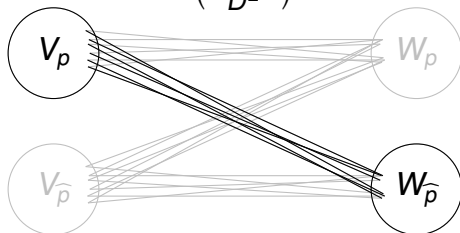


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**Loss:** Smaller vertex sets, potential loss of edge density

**Gain:** Naive guess smaller by a factor of  $p$

**Need decrease in edge density and vertices to be outweighed by gain through  $p$ -factor**

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- *Why* does the proof only just work?



Thank you for listening.